



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

Commissioner for Patents  
Washington, DC 20231  
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Bib Data Sheet

CONFIRMATION NO. 7398

<b>SERIAL NUMBER</b> 09/940,509	<b>FILING DATE</b> 08/27/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3624	<b>ATTORNEY DOCKET NO.</b> 40655.5800
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**APPLICANTS**  
 Doug Dunning, Apple Valley, MN;  
 Ron Bristol, Hammond, WI;  
 Georgann G. Burns, Minneapolis, MN;  
 Pan Hall, Edina, MN;  
 Douglas Hoover, Ham Lake, MN;  
 Terry McEvoy, Roseville, MN;  
 Bruce Kohn, Edina, MN;

**\*\* CONTINUING DATA \*\*\*\*\***  
 This appln claims benefit of 60/228,366 08/28/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**  
**\*\* 10/02/2001**

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	<b>STATE OR COUNTRY</b> MN	<b>SHEETS DRAWING</b> 3	<b>TOTAL CLAIMS</b> 76	<b>INDEPENDENT CLAIMS</b> 4
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Verified and Acknowledged  
 Examiner's Signature \_\_\_\_\_ Initials \_\_\_\_\_

**ADDRESS**  
 20322

**TITLE**  
 System and method for creating and administering an investment instrument

<b>FILING FEE RECEIVED</b> 1928	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/228,366 08/28/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 10/02/2001</b>				
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